



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Nichole M. Weiss

Serial No.:

10/765,743

Filed:

01/27/2004

Group No.:

3743

01/2//20

Examiner:

Doster-Greene

Entitled:

**Orthotic Device and Methods of Use** 

# TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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Dafa: <u>July 5, 2003</u>	By: Jennifer B. Xistris

Sir or Madam:

Enclosed please find an **Information Disclosure Statement** and Form PTO-1449, in the above-identified application, for filing in the U.S. Patent Office. A check is enclosed in the amount of \$180.00 to cover the fee for filing the Information Disclosure Statement.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

Dated: \_\_\_\_\_ July 5, 2006

Robert A/Goetz

Registration No. 55,210
MEDLEN & CARROLL, LLP

101 Howard Street, Suite 305

San Francisco, California 94105

608/218-6900



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**PATENT** Attorney Docket No. UM-08483

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Nichole M. Weiss

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ORTHOTIC DEVICE AND METHODS OF USE

### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

> CERRIFICATIE OF MAILING UNDER 37 CFR § 1.3(a)(1)(1)(A))
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#### Sir or Madam:

The citations listed below may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

5,058,574

10/22/1991

Whole Document

WO 92/00042 01/09/1992

Whole Document

- Bonutti PM, Windau JE, Ables BA, Miller BG. Static Progressive Stretch to Reestablish Elbow Range Of Motion. Clinical Orthopaedics 1994;303:128-134.
- Cohen E. Adjunctive Therapy Devices: Restoring ROM Outside of the Clinic. Physical Therapy Products, March 1995:10-13.

07/10/2006 AKELECH1 00000001 10765743

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01 FC:1806

- Hotz M. Clinical Management of Soft Tissue Stiffness and Loss of Joint Motion. Inside Case Management 2002;8(3):11-12.
- Hotz M, Bonutti P, Cremens M, Gray T, Leo C, Beyers M. Joint Contracture
   Rehabilitation: Static Progressive Stretch. JBJS, Orthopaedic Transactions 1998-9;22(1).
- Jansen et al., Treatment of a Knee Contracture Using a Knee Orthosis Incorporating Stress Relaxation Techniques. Physical Therapy 1996,76:182-186
- Millett PJ, Johnson B, Carlson J, Krishnan S, Steadman R. Rehabilitation of the Arthrofibrotic Knee. American Journal of Orthopedics, November 2003:531-538.
- Schultz-Johnson K. Static Progressive Splinting. Journal of Hand Therapy, April-June 2002:163-178.
- Bonutti, P. et al., "Joint Contracture Rehabilitation: Static Progressive Stretch" Scientific Exhibit, American Academy of Orthapaedic Surgeons Meeting – Poster Presentation, March 1998.
- Donatelli, R. et al., "Using Static Progressive Stretch and Stress Relaxation in the Treatment of Glenohumeral Joint Adhesive Capsulitis," Orthopaedic Research Society Annual Meeting – Poster Presentation, February 2001.
- Gamage, T., et al., "The Use of Static Progressive Stretch on the Burn Joint Contracture," Podium Presentation at the American Burn Association Meeting, March 24-27, 1999.
- Green et al., Turnbuckle, Orthotic Correction of Elbow Flexion Contractures after acute injuries. J. Bone Joint Surg, 1970; 61A 1092-5.
- Shah MA, Lopez JK, Escalante AS. Green DP. Dynamic Splinting of forearm Rotational Contracture after Distal Radius Fraction. [Journal Article] Journal of Hand Surgery American Volume 27(3):456-63 2002 May.
- Taylor et al., Viscoelastic Properties of Muscle Tendon Units The Biomechanical Effects of Stretching, American Journal of Sports Medicine, vol 18#3 (1990).
- Light et al., A Low Load Prolonged Stretch vs. High Load Brief Stretch in Treating Knee Contractures, Physical Therpay, 64:330 (1984).

 Nicole M. Parent, et al, Forearm Rotation Stiffness: Efficacy of Non-Operative Management with Static Progressive Splinting, Paper #30B, Oct. 05, 2002 SynergyAnnual Meeting.

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art.

Dated: \_\_\_\_\_ July 5, 2006\_\_\_\_

Robert A. Goetz/
Registration No. 55,210

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San Francisco, California 94105
608/218-6900

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PATENT

Attorney Docket No.: UM-08483

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pplication of:

Nichole M. Weiss

serial No.: Filed:

10/765,743

01/27/2004

Group No.: Examiner:

3743 Doster-Greene

Entitled:

ORTHOTIC DEVICE AND METHODS OF USE

### AMENDMENT TRANSMITTAL

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

### CERTIFICATE OF MAILING UNDER 37 CFR § 1.8(a)(1)(i)(A)

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Date: July 5, 2006

By:

Jennifer B. Xistris

Sir or Madam:

Transmitted herewith is an amendment for this application. The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest Number Previously Paid For	Present Extra		Rate	Additional Fee
Total Claims	12		12	0	Х	\$50.00	\$0.00
Independent Claims	1	-	1	0	Х	\$200.00	\$0.00

SUBTOTAL:

\$0.00

Small Entity 50% Fee Reduction:

\$0.00

**TOTAL AMOUNT DUE:** 

\$0.00

- A check in the amount of \$180.00 to cover the fee for filing an Information Disclosure Statement 1. is attached.
- The Commissioner is hereby authorized to charge any deficiency in the payment of the required 2. fees, and/or credit any overpayment, to Deposit Account No. 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

Date: July 05, 2006

Robert A. Goetz

Registration No.: 55,210 MEDLEN & CARROLL, LLP

101 Howard Street, Suite 350

San Francisco, California 94105

608/218-6900

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
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Substitute for form 1449/PTO

Sheet 1

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 2

Complete if Known				
Application Number	10/765,743			
Filing Date	1/27/2004			
First Named Inventor				
Art Unit				
Examiner Name				
Attorney Docket Number	UM-08483			

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	<sup>US-</sup> 5,058,574	10/22/1991	Lucinda L. Anderson	Whole Document
		US-			
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		US-			

	FOREIGN PATENT DOCUMENTS					
Examiner Cite	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>
	2	WO 92/00042	01/09/1992	Anderson, L., et al	Whole Document	
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Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTC/SB/08B (08-03)

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	Substitute for form 1449/PTO		over 1000, no polociis di	Complete if Known			
Jubstitu	18 10/10/11/1443/7 10			Application Number	10/765,743		
INFO	ORMATION	DIS	CLOSURE	Filing Date	1/27/2004		
STA	STATEMENT BY APPLICANT			First Named Inventor	Nichole M. Weiss		
	(Use as many she	-4		Art Unit	3743		
	(Ose as many sne	ets as n	iecessary)	Examiner Name	Doster-Greene		
Sheet	2	of	2	Attorney Docket Number	UM-08483		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	3	Bonutti PM, Windau JE, Ables BA, Miller BG. Static Progressive Stretch to Reestablish Elbow Range Of Motion. Clinical Orthopaedics 1994;303:128-134.	
	4	Cohen E. Adjunctive Therapy Devices: Restoring ROM Outside of the Clinic. Physical Therapy Products, March 1995:10-13.	
	5	Hotz M. Clinical Management of Soft Tissue Stiffness and Loss of Joint Motion. Inside Case Management 2002;8(3):11-12.	
	6	Hotz M, Bonutti P, Cremens M, Gray T, Leo C, Beyers M. Joint Contracture Rehabilitation: Static Progressive Stretch. JBJS, Orthopaedic Transactions 1998-9;22(1).	
	7	Jansen et al., Treatment of a Knee Contracture Using a Knee Orthosis Incorporating Stress Relaxation Techniques. Physical Therapy 1996,76:182-186	
	8	Millett PJ, Johnson B, Carlson J, Krishnan S, Steadman R. Rehabilitation of the Arthrofibrotic Knee. American Journal of Orthopedics, November 2003:531-538.	
	9	Schultz-Johnson K. Static Progressive Splinting. Journal of Hand Therapy, April-June 2002:163-178.	
	10	Bonutti, P. et al., "Joint Contracture Rehabilitation: Static Progressive Stretch" Scientific Exhibit, American Academy of Orthapaedic Surgeons Meeting – Poster Presentation, March 1998.	
	11	Donatelli, R. et al., "Using Static Progressive Stretch and Stress Relaxation in the Treatment of Glenohumeral Joint Adhesive Capsulitis," Orthopaedic Research Society Annual Meeting – Poster Presentation, February 2001.	
	12	Gamage, T., et al., "The Use of Static Progressive Stretch on the Burn Joint Contracture," Podium Presentation at the American Burn Association Meeting, March 24-27, 1999.	

Examiner	Date	
Signature	Considered	_,_

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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1 Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	13	Green et al., Turnbuckle, Orthotic Correction of Elbow Flexion Contractures after acute injuries. J. Bone Joint Surg, 1970; 61A 1092-5.	
	14	Shah MA,Lopez JK, Escalante AS. Green DP. Dynamic Splinting of forearm Rotational Contracture after Distal Radius Fraction. [Journal Article] Journal of Hand Surgery - American Volune 27(3):456-63 2002 May	
	15	Taylor et al., Viscoelastic Properties of Muscle Tendon Units - The Biomechanical Effects of Stretching, American Journal of Sports Medicine, vol 18#3 (1990)	
	16	Light et al., A Low Load Prolonged Stretch vs. High Load Brief Stretch in Treating Knee Contractures, Physical Therpay, 64:330 (1984)	
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Signature	Considered	

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